

FATCA Entity Self – Certification Form

To: **Deem Finance LLC**

CIF: _____

Company Name: _____

Trade License No: _____

For the purposes of the U.S. Foreign Account Tax Compliance Act (FATCA), and in line with the UAE Central bank requirements, completion of this form is mandatory.

Please note, that as part of our account onboarding procedures, we will review other documentation provided by you or publicly available and may seek further clarification as applicable.

(A) Please specify if the entity income is **“Active”** or **“Passive”**

Active NFFE <input type="checkbox"/>	We are an Active NFFE (Non-Financial Foreign Entity)
Passive NFFE <input type="checkbox"/>	We are a Passive NFFE (Non-Financial Foreign Entity)
Passive Income Definitions	<p>Passive NFFEs are entities that derive more than 50% of its gross income from passive income (i.e. dividends, rents, royalties, annuities).</p> <p>Passive Income is typically from a trade or business activities in which you do not materially participate some examples of passive income are:</p> <ul style="list-style-type: none"> · Any type of property income (e.g. Rent from property); · Earnings from a business that does not require direct involvement from the owner or merchant; · Interest from a bank account; · Royalties from publishing a book or from licensing a patent or other form of intellectual property, such as computer software product; · Earnings from internet advertisements on websites; · Dividend and interest income from owning securities, such as stocks and bonds, is usually referred to as portfolio income, which may or may not be considered a form of passive income. In the united states, portfolio income is considered a different type of income than passive income; · Pension

(A) Please tick any of the below boxes as applicable to certify whether the entity has any substantial US Persons

- I/We hereby certify and confirm that the entity identified above has no substantial U.S. Ultimate/Beneficial Owners, Partners, directors and Authorized Signatories and are not a (U.S. Taxpayers, U.S. Citizens or Residents) and their profiles are not match/applicable with the below U.S. indicia criteria.
- I/We hereby declare and agree that our Ultimate Beneficial Owner(s), Partner(s), Director(s) or controlling shares is/are a U.S. Person (U.S. Taxpayer, U.S. Citizens or Residents) and subject to U.S. Federal income tax purposes.

U.S. Indicia Criteria

- a) Account Holder with U.S. citizenship or residence;
- b) U.S. place of birth;
- c) U.S. Passport / Green Card Holder;
- d) Standing instructions to transfer funds to an account maintained in the U.S.;
- e) U.S. mailing or residence address (including a U.S. post office box);
- f) Currently effective power of attorney or signing authority granted to U.S. person or have “in-care-of” or “hold mail” address.

Important Note: W-8BENE Form is required if:

- The entity is a Passive NFFE; Or
- The entity is a Financial Institution; Or
- Any of the shareholder(s) who owns 10% or more is an identified U.S Person.

*W-8BENE Form is available on the Internal Revenue Service (IRS) website: <https://www.irs.gov/pub/irs-pdf/fw8bene.pdf>

I/We hereby certify that the information provided above is true and accurate. I/We understand that providing false information, withholding relevant information or responding in a misleading way may result in the rejection of the application or other appropriate action taken against me and/or the applicant. I/We agree to notify Deem Finance LLC within 30 days of any change in my/our/Ultimate Beneficial Owner's status as a U.S. Person for the purposes of U.S. Federal Income Tax.

I/We Certify that I/we have the capacity to sign for the entity identified above in this Form

Capacity: Shareholder; Authorized Signatory;

Authorised signatory name

(A) SIGN HERE

Date (DD) / (MM) / (YYYY)